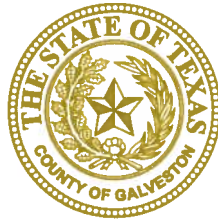


409-770-5395
FAX: 409-765-3140
kevin.walsh@co.galveston.tx.us



722 MOODY STREET
4TH FLOOR
GALVESTON, TEXAS 77550

KEVIN C. WALSH, C.P.A.

COUNTY TREASURER
COUNTY OF GALVESTON

November 10, 2017

Hon. Mark Henry, County Judge

Hon. Commissioner's Court

722 Moody

Galveston, TX 77550

Re: Broker/Dealer Approval FY 2017-2018

Gentlemen,

The Following Brokers/Dealers have submitted all information and certifications required by the Galveston County Investment Policy for Broker/Dealer Approval. The investment committee and I recommend the approval of the following Dealers for Fiscal Year 2017-2018.

If you need any additional information regarding the application of the firms, please feel free to contact Kevin Walsh, at 409-770-5395

Sincerely,

A handwritten signature in black ink, appearing to read "K Walsh".

Kevin C. Walsh, CPA
Galveston County Treasurer
Investment Officer

FY 2017-2018 BROKER/DEALERS.

LF Financial LLC

Robert Hirsch

22314 Fairbay Drive

Katy, TX 77450

1-888-890-6626

bhirsch@shearsonllc.com

Vining Sparks

Andrew H. Lamanna

775 Ridge Lake Blvd, Suite 200

Memphis, TN 38120

901-766-3000

alamanna@viningsparks.com

Raymond James & Associates, Inc.

Institutional Fixed Income

Jackie Williams

One Burton Hills Boulevard, Suite 225

Nashville, TN 37215-6104

Jackie.williams@raymondjames.com

Cantella & Co., Inc.

Anthony W. Brock,

Cantella & Co., Inc.

281-679-8900 (Office)

866-521-6900 (Toll Free)

713-398-6607 (Cell)

abrock@cantella.com

FTN Financial Capital Markets

Bertrum T. Pierce, Jr. CFA

Senior Vice President

One St. Louis Centre, Suite 3000

Mobile, AL 36602

800-693-7025

Bert.Pierce@ftnfinancial.com